

## "How Engineering Impacts Diversity, Equity, and Inclusion": A Case Study in Graduate Course Design and Assessment

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### 5 Abstract

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- 7 Racist soap dispensers, algorithmic bias, and the confrontation of historical inequities exemplify
- 8 incomplete engineering. What these case studies neglect to account for is diversity, equity, and
- 9 inclusion (DEI). How does the engineer of the twenty-first century understand the impact of their
- 10 research in the context of DEI? Non-technical engineering courses provide important tools to
- better understand the sociotechnical systems of the profession. This study evaluates a new
- 12 graduate level course titled "How Engineering Impacts Diversity, Equity, and Inclusion" that
- emphasizes the importance of non-technical engineering skills, with a focus on DEI. This course
- 14 considers writings from a variety of authors, representing distinctive perspectives on matters of
- diversity, equity, and inclusion. Through active engagement with this material, this course
- 16 confronts history to identify and understand instances of racism, sexism, discrimination, and
- bias, specifically in science and engineering. This study builds on previous scholarship presented
- to ASEE along with other related fields to demonstrate how discussion-based courses challenge
- 19 graduate students to think more critically about the engineering design process and the active
- 20 integration of the social dimensions of engineering problems.

### 21

# 22 Keywords

- 23 Science, technology, and society
- 24 Diversity, equity, and inclusion
- 25 Graduate instruction
- 26 Course design
- 27

# 28 Diversity, Equity, and Inclusion

29 Diversity, equity, and inclusion (DEI) – what do these three words mean? Though DEI terminology frequently appears as intricate buzzwords, undergraduate and graduate students and 30 instructors rarely discuss the greater understanding of these concepts, particularly in engineering 31 classrooms. An overwhelming number of institutions of higher education in the United States 32 now support different divisions attending to DEI or include explicit language defining the terms 33 in university statements. Common themes emerged through an analysis of five of these 34 institutions: the University of Virginia (UVA), Duke University, Cornell University, Stanford 35 36 University, and the University of Michigan. These universities typically characterize diversity as the ways in which people differ, including the characteristics such as age, religion, disability, 37

38 sexual orientation, education, etc. that differentiate one individual from another. Equity is

#### 2023 ASEE Southeastern Section Conference

- 39 broadly defined as fair treatment, access, opportunity, and advancement for all people. Inclusion
- 40 involves an active, intentional, and continuing process to build community well-being and
- belonging. [1] [2] [3] [4] [5] While these definitions exhibit one way of thinking about these
- 42 important concepts, the definitions alone do not indicate ways in which to turn these thoughts
- 43 into actions. The translation of these tools into actions can best be understood in the classroom.
- 44 Contextualization of DEI in relation to the engineering profession is not uniformly integrated, 45 especially within the context of engineering education. The next section briefly introduces the
- especially within the context of engineering education. The next section briefly introduce
  exploration of DEI within the American Society for Engineering Education.

# Incorporating Diversity, Equity, and Inclusion in the American Society for Engineering Education

49 A search through the conference proceedings of the American Society for Engineering Education (ASEE) produces over 1,500 results for the keywords "diversity, equity, and 50 51 inclusion." A small percentage of these results appears within the regional conferences, while 52 most results appear at the annual conference. Approximately 327 papers with those keywords were presented at the 2022 ASEE annual conference and exposition, compared to 104 at the 53 54 2018 ASEE annual conference and exposition. [6] Though these results indicate a clear interest in researching, understanding, and practicing DEI within engineering education, instructors have 55 not yet developed enough clarity about the integration of these themes within the curriculum. 56 Many papers emphasize the terminology, but do not discuss the practice of navigating these 57 ideas with students. The next section contextualizes one approach to implementing DEI in 58

59 practice at the graduate level.

# 60 Understanding Graduate Engineering Education at the University of Virginia

61 After the deaths of George Floyd, Breonna Taylor, and others, many universities created class offerings and other initiatives that reflected the need for deeper conversations about race. 62 The University of Virginia Department of Mechanical and Aerospace Engineering (MAE) 63 created a Graduate Student Board as part of their DEI-DRIVE (diversity, equity, and inclusion -64 diversity, respect, inclusion, vision, and equity) initiative. The Board developed a proposal for a 65 course on diversity, equity, and inclusion, complete with the class's structure, learning 66 objectives, and a weekly outline of lesson resources. Though MAE wanted to offer this course, 67 there were no available faculty members in the department able to teach it. The University of 68 Virginia School of Engineering and Applied Science features an embedded program called 69 Science and Technology in Society (STS). Scholars in this department, primarily social 70 71 scientists, specialize in teaching engineering ethics. The STS program offers courses at the undergraduate level that attend to conversations about DEI, but does not consistently offer many 72 similar courses at the graduate level. The MAE graduate students' proposal therefore created a 73 74 great opportunity for cross-departmental collaboration.

The field of Science and Technology in Society attends to the nontechnical skills necessary for a well-rounded engineering education. STS draws from a full range of disciplines in the social sciences and humanities to examine how science and technology simultaneously shape and are shaped by society, including politics and culture. The nontechnical approaches

- offered by STS provide engineering students with conceptual tools to think about engineering
- 80 problems and solutions in more sophisticated ways. However, no universal standard for
- 81 incorporating these skills into engineering curricula exists. As Seabrook et al. describe in
- 82 "Teaching STS to Engineers: A Comparative Study of Embedded STS Programs," a variety of
- 83 STS distribution methods means that some programs feature standalone courses from outside the
- 84 engineering school, while others incorporate STS material into more traditional engineering
- courses. [7] These mixed approaches indicate the scattershot approach to STS education taken by
- various engineering programs. Despite the ability of STS courses to enhance ABET
- accreditation, only a small percentage of engineering programs embed STS departments within
- 88 engineering schools. While available statistics suggest that engineering schools are integrating
- 89 more STS departments and courses at the undergraduate level, few course offerings at the
- 90 graduate level attend to the formation of nontechnical STS skills. [7] The following section
- 91 describes the UVA DEI graduate course developed and taught in fall 2022.

### 92 STS/MAE 6592 – How Engineering Impacts Diversity, Equity, and Inclusion

### 93 Course Description and Objectives

94 Why choose engineering? Often, individuals choose to become engineers because they 95 have a passion for creating knowledge and technologies that serve society. Despite the wealth of data and impressive advances in the field of engineering, experimentation also raises several 96 serious ethical, social, and public-policy concerns. Though scientists and engineers have often 97 maintained that their work is value free, they are now increasingly required to factor in diversity, 98 equity, and inclusion responsibilities as they plan to conduct their programs of research. 99 Analytical tools from STS can help engineers better understand the sociotechnical systems of the 100 profession, including how engineering impacts DEI. 101

102 The course titled "How Engineering Impacts Diversity, Equity, and Inclusion" considers 103 the writings of a variety of authors and a few films, representing distinctive perspectives on 104 matters of diversity, equity, and inclusion. Through their engagement with this material and in 105 dialogue with each other, students in the course will:

- Look historically to identify and understand instances of racism, sexism, discrimination, and bias, specifically in the science and engineering fields
- Consider their own implicit bias and how it impacts technological devices and engineered systems
- Recognize and address gaps in diversity, equity, and inclusion in their own research
- Evaluate stereotype threat and imposter syndrome in the context of DEI and how they
   impact researchers' mentality
- 113 Course Design and Materials
- 114 In recognition of inequity among the student population, this course requires no textbook.
- 115 All assigned materials are digitally available through UVA's online learning management
- system. This course design choice enhances accessibility, supporting the course goal of
- 117 inclusion. Foundational texts that have informed the course include *Technology Matters:*

118 *Questions to Live With* by David Nye; *Engineering Ethics: Contemporary and Enduring Debates* 119 by Deborah G. Johnson; and *Race After Technology* by Ruha Benjamin. Based on the guiding

principles outlined in these texts, the course is divided into four broad modules. In the first

- 121 module, students use introspective reflection in thinking about engineering stereotypes,
- 122 considering how they can challenge these stereotypes. This module explores DEI etiquette,
- implicit bias, confirmation bias, stereotype threat, and imposter syndrome. The assigned readings
- and visual materials for this module specifically focus on the graduate student experience. For
- example, the assigned reading for the discussion about imposter syndrome is called "The
- 126 Imposter Phenomenon Among Black Doctoral and Postdoctoral Scholars in STEM" by
- 127 Devasmita Chakraverty. During the in-class discussion about this reading, it became clear that
- the students needed a space to explore their experiences and to unpack the imposter syndrome they were able to successfully identify and overcome due to this reading.

130 The second module confronts history, examining cases of historical injustices in relation to DEI. This module began with a viewing of the film Hidden Figures and a discussion of Jim 131 Crow laws and etiquette. Contextualizing engineering innovations and history simultaneously 132 illustrates the interconnectedness and responsibility of the engineering profession. This case 133 study illuminates the racial tensions and systems of oppression that have shaped and 134 characterized American society, a perspective critical for international graduate students. In 135 addition to spurring discussions about the role of technology and race, the film also opens 136 conversations about bias in the engineering workplace, enabling students to draw connections to 137 the University of Virginia. Subsequent case studies in this module include the history of eugenic 138 science, the Tuskegee syphilis study, and algorithmic bias. The other important visual included 139 in this module is a Netflix documentary called Coded Bias. Released in 2020, this recent 140 documentary highlights what is often missing in newer technologies: better datasets. This second 141 module provides the foundation for understanding the nuances and intricacies of engineering 142 failures to help inform conversations about more contemporary engineering issues. 143

The third module uses case studies, experts, and guest speakers to address the role of 144 engineers and engineering in DEI. Some topics included the Flint, Michigan, water crisis, digital 145 segregation, racial zoning, microplastics, how to engage stakeholders to incorporate social 146 147 inequity in coastal climate resilience, and genetically modified organisms. Guest speakers included Dr. Rider Foley, who co-wrote a piece titled "Towards Digital Segregation? 148 Problematizing the Haves and Have Nots in the Smart City" about Harlem, New York. PhD 149 student Valerie Michel, who co-wrote a piece titled "An Assessment of How Stakeholders 150 Incorporate Social Inequity into Coastal Climate Resilience," also spoke to the class. Having a 151 current PhD student present research that actively incorporates DEI provides an invaluable 152 resource for first- and second-year graduate students who will be preparing ethical research in 153 the not-so-distant future. The variety of experts and case studies included in module three 154 emphasizes how engineers and engineering projects are not separate from DEI, but rather have a 155 direct impact on DEI outcomes. 156

157 The fourth and final module of this course asks students to explore the connections158 between engineering and social justice. Students strive to answer the question posed by Deborah

Johnson: "Is social justice in the scope of engineers' social responsibilities?" [8] While there are 159 160 many topics covered over the course of the semester, each class has their own unique interests. 161 The remainder of the final module requires students to select a topic of their choosing, attending to a case study not fully explored earlier in the semester, or not included in the original syllabus. 162 The students then read and research these topics and present their discussions to the class. 163 164 However, the University of Virginia experienced a deadly shooting in November 2022 that changed the tone of the final module. While the intention remained the same, the final module in 165 practice became a place to examine a different kind of engineering representation. After a few 166 classes dedicated to discussing and processing the recent events at the university, the students 167 worked together as a collective to establish the most realistic way to finish the semester while 168 maintaining course objectives. The final case study as selected by this particular class was a 169 viewing and discussion of *The Dropout*, a drama miniseries on Hulu that walks through the 170 171 intricacies and nuances of the Theranos case. Students conducted additional research to fact check the accuracy of the timeline and details of the case; more importantly, they reflected on 172 how engineers were portrayed in the miniseries. Could the students identify moments of 173 stereotype threat, imposter syndrome, confirmation bias, and other themes identified earlier in 174 the course? The conversation about this final case study emphasized the importance of ethical 175 research, but also highlighted the role of media in perpetuating engineering stereotypes, both 176 good and bad. 177

### 178 Assessments

Assessments for this class fall into five different categories. The first category, active 179 class participation, includes consistent attendance, completing readings in sufficient time to 180 reflect on the assigned topics, speaking during class, and engaging with ideas from other students 181 and the instructor. For the second category, team discussion leadership, the instructor encourages 182 and supports students to lead small discussion groups posing appropriate challenges, questions, 183 or activities. The third category, discussion board responses, requires an informal reflection on 184 the assigned material. For the fourth category, talking-point papers, students synthesize the 185 course material more formally through important reflection pieces. The fifth and last category, a 186 final project and presentation, consists of a review of and reflection on an individually selected 187 188 topic. Adjustments had to be made for the final project and presentation to protect the mental health and safety of those enrolled in the fall 2022 class, so an additional talking-point paper 189 took the place of the project and presentation as a final reflection. The grading rubrics are 190 transparent in the syllabus, as well as on each individual assignment. Additionally, all 191 assessments are graded using a learner-oriented grading approach. 192

### **193** Course Policies

The most important course policy creates an atmosphere of respect and trust. The course syllabus indicates that it is imperative that the classroom is open and hospitable to all class members. Throughout the semester, students should examine their perspectives and values as individuals, engineers, and people situated in a broader society and the environment. Students are encouraged to respect and appreciate the different approaches that their colleagues might share in

a class discussion. Without establishing a safe physical space, this discussion-based course has 199 200 little success.

Other course policies indicate an illness and other absences clause, a late work policy, 201 202 how final grades are calculated, a statement about the honor code, how to establish individual accommodations, support for religious accommodations, a list of student support resources, and 203 204 a flexibility disclaimer. The disclaimer at the very end of the syllabus allows each class to 205 explore their unique interests, goals, and learning styles. Allowing for the flexibility to alter assignments and/or course material creates a more fruitful discourse.

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#### 207 **Lessons Learned**

Seventeen students enrolled during this pilot course: two in their third year, eight in their 208 second year, and seven in their first year. A mixture of master's and PhD students represented 209 four different disciplines - biomedical engineering, mechanical and aerospace engineering, 210 computer science engineering, and systems engineering. It is worth noting that seven of the 211 212 enrolled students were international students, while one student received their undergraduate degree from the University of Virginia. Likewise, eight students identified as female, while nine 213 identified as male. Given this distribution, students were asked on the first day of class why they 214 215 elected to take this course. The overwhelming majority stated that they wanted an environment 216 where they could discuss and learn from their colleagues about engineering and DEI. Most of the class did not receive a formal ethics course specifically designed for engineering students during 217 their undergraduate career. On the other hand, those students who did have an opportunity for an 218 engineering ethics course during their undergraduate career emphasized that they still felt the 219 need for a specific space for graduate students because of new research and career goals they had 220 not considered during their undergraduate education. 221

222 One student commented, "This class certainly challenged my perspective as an engineer and as a human. Before this semester, I had hopes to make an impact in engineering, but I did not 223 think deeply on how engineering impacted DEI. I learned so much about the role of an engineer 224 and the power that I do have as a young woman in STEM. I am especially grateful for how much 225 I learned about advocacy for myself, and more importantly, for others." 226

227 Another student said, "This was wonderful. I really enjoyed the class dynamic and the discussion-based learning. I like the framework of this course and how it encompassed 228 engineering stereotypes and then moved into more specific cases, even ones related to UVA. I 229

think this course should be a requirement for all engineering students, graduate and 230

undergraduate alike. This class expanded my knowledge on my role and duty as an engineer and 231

what that means in shaping society and DEI efforts." 232

233 It is clear based on the in-class discussions and assignments that a necessity exists for this 234 kind of course at the graduate level. While more institutions are incorporating engineering ethics at various levels, by the time engineering students reach the graduate level, there is no uniform 235 shared experience in the exploration of non-technical engineering issues. Some of the students in 236 this course had extensive ethics courses based on their undergraduate curriculum, while others 237 had none. This disparity demonstrates the imperative nature of providing a graduate level course 238

that situates these students in their new roles. The next step for this course is to offer it again as a

special topics course cross-listed with a few engineering departments. Once this course receives

241 more quantitative feedback, the goal is to create a required, three-credit course for all first- and

242 second-year graduate students.

# 243 Next Steps for All Educators: Creating Inclusive Learning Environments

244 The road to the inclusion continuum requires small but powerful changes for all educators. These changes particularly impact students in their graduate careers, as they will 245 assume leadership roles and responsibility that holds them directly accountable for creating 246 247 inclusive environments. Having inclusive role models in their education will positively reflect on their future careers. What are the small changes that engineering educators can make in all their 248 249 classrooms? First, create high touch environments with consistent signage and communication between the professor and the students, maintaining safe and secure classroom spaces for 250 251 communication. Second, create more personalized and proactive interactions, including relevant 252 self-disclosure, humanizing the relationship between the student and instructor. Third, create clear performance expectations. Educators can make assumptions that students understand 253 254 syllabi and policies. Providing regular feedback for students solidifies performance expectations because a calibration occurs when students receive tailored motivators. Fourth and finally, create 255 a climate of respect and trust in the classroom. One way to establish this climate on the first day 256 of class is to create a list of classroom norms. Holding to this agreement throughout the semester 257 258 creates a model of respect that will translate to classroom discussions and activities.

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