

## Development of an Online Phase-Field Theory Course for Mechanical Engineering Graduate Students

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## **DEVELOPMENT OF AN ONLINE PHASE-FIELD THEORY COURSE FOR MECHANICAL ENGINEERING GRADUATE STUDENTS**

**Abstract** – A graduate level course, Phase-Field Theory, was designed and offered to the mechanical engineering (ME) M.S. and Ph.D. students at Mississippi State University (MSU) through online instruction. The significance of the phase-field method to students in materials science and the course development process are elaborated in this paper. In particular, since this class was taught in summer semester 2020, measures were taken to enable students to understand the essence of the phase-field method and master fundamental phase-field modeling techniques in a short period time despite the challenges of COVID-19 during the course development process. Positive student feedback and decent evaluation results were proofs that the course objectives were achieved.

**Keywords:** Phase-field method, mechanical engineering, graduate education, online teaching, computational approach

### **1. Introduction**

Phase-field method has emerged as a powerful and flexible tool for simulating the formation and evolution of microstructures in a wide variety of physical processes in materials. A distinct advantage of this method is that it removes the need of explicitly tracking complex of interfaces and instead allows the prediction of the morphology evolution at micro- to mesoscale by following the growth kinetics of key microstructural features [1]. Because of such advantages, by now the phase-field method has been extensively applied to model different types of phase transformations in various materials, such as grain boundary migration and grain growth, precipitation, recrystallization, solidification of metallic materials, domain evolution in ferro-electric and ferro-magnetic materials, irradiation damage, and so on [2-8]. Thus, there is a compelling need to teach this method to graduate students who wish to study materials science as they get started in their research.

Several universities including Penn State, Northwestern University, McGill University, and Indian Institute of Technology Mumbai have taught phase-field method to their students and received very good responses. Recognizing a growing students' need for this method, the author developed a "Phase-Field Theory" course in the spring of 2020 and first taught it to the mechanical engineering (ME) graduate students at Mississippi State University (MSU) in Summer 2020. A course development approach [9-10] put forward by Liu was followed to establish a synopsis of this course and other course materials. Because of COVID-19, this course was intentionally designed as an online course and would be converted to a face-to-face course after the pandemic is over.

### **2. Course Description of "Phase-Field Theory"**

Phase-Field Theory is a three-credit course about computational and theoretical methods in phase-field modeling and development of phase-field codes. The course is to be taken by graduate students in materials science who wish to delve into fundamental physics underlying various materials. The goal of this course is to provide students with the knowledge and skills necessary to develop efficient theoretical models to predict and manipulate the evolution of microstructure

and equip them with the tools to reveal the underlying mechanisms that define the functionality of materials and assist in the design of new materials. It is expected that after completing this course, students will be able to (1) gain the knowledge of the mathematics related to the phase-field method; (2) analyze phase transformations in metals when the metals are heated or during cooling from an elevated temperature; (3) recommend best practices for implementing the phase-field method given a specific scenario; and (4) Construct a phase-field model for a multi-phase physical system and simulate its microstructure evolution during a physical process.

As mentioned before, this course is designed as an online, summer class. This course is an 8-week series so it can be fit into a summer term and two 2-hour sessions per week (on Tuesdays and Thursdays) are scheduled for lectures, discussions, and phase-field code development. The difficulty of this course is such that a minimum weekly commitment of 10-12 outside study hours will be required.

### **3. Course Development**

#### ***3.1 Challenges***

Two challenges were faced by the author when developing this course. The first challenge was associated with teaching a summer class: how to make an easy-to-follow tutorial on phase-field modeling that would allow students to come up to speed with the details of this topic in a short period of time. The second challenge was caused by the COVID-19 pandemic, which was how to foster teacher-student interaction and keep the students engaged and on task in an online environment. Both challenges had to be addressed in order to achieve the course learning outcomes.

#### ***3.2 Textbooks and Topics***

To overcome the first challenge, an easy-to-read textbook that transmits the essential content of phase-field method in a standard and economical way must be chosen for this class. After comparing several books on phase-field theory, the book *Phase-Field Methods in Materials Science and Engineering* written by Provatas and Elder [11] was selected as the textbook for this course. This book comprehensively covers basic principles of phase-field theory and explains numerical techniques for solving phase-field models in a fundamental way, which is most appropriate for learners who have the least experience in the phase-field method. Meanwhile, Biner's book *Programming Phase-Field Modeling* [12] was recommended as a reference book because this book contains a collection of codes that students can use as template to practice and develop their own phase-field codes. A combined usage of these two books provides a fast-track pathway from phase-field theory to numerical implementation of phase-field modeling.

Only fundamental topics that comprise the basic principles and fundamental knowledge of phase-field theory are covered in this 8-week course. A detailed course outline is listed in Table 1. In the phase-field modeling lectures (the two classes in week 7 and the first class in week 8), I demonstrated one example each class to show students how to create a phase-field model and run specific phase-field simulations using Abaqus/CAE. I also developed step-by-step guide to help the students independently complete the modeling and simulation assignments.

Table 1. Course schedule of "Phase-Field Theory"

<b>Week</b>	<b>Tuesday Lecture</b>	<b>Thursday Lecture</b>
1 (6/9)	Introduction and overview of phase-field model	Phase separating and ordering systems; spinodal decomposition
2 (6/16)	Free energy; Gauss' theorem; group theory; variational calculus	Classical diffusion equation; Cahn-Hilliard equation
3 (6/23)	Solution of Cahn-Hilliard equation; interfacial energy	Phase transformation; Allen-Cahn equation
4 (6/30)	Coherent misfitting precipitates; Eshelby problem	Asymptotic analysis; grain growth phase-field model
5 (7/7)	Finite difference and spectral implementation of Allen-Cahn equation	Incorporating elastic stress effects into phase-field models
6 (7/14)	General framework of thermomechanics and energy dissipation for PF models	Energy-dissipative time-integration schemes
7 (7/21)	Phase-field modeling of grain growth	Phase-field modeling of dendritic solidification
8 (7/28)	Phase-field modeling of brittle fracture	Review and discussion

### ***3.3 Communications in An Online Classroom***

Several measures were adopted to effectively engage online students and promote a positive online learning environment. A course website was created through MSU's blackboard system for the instructor to post course materials and facilitate virtual chats and discussion boards between the instructor and students, and among the students. Online help and discussion sessions (twice a week) were introduced through the course website for the instructor to meet with the students online, answering their questions, clarifying confusing topics, explaining the instructor's expectations, etc. Such online sessions are an equivalent of traditional office hours and were later proved to be impactful on the academic success of the students who took this class in Summer 2020. In teaching this class, the author also stimulated informal and random communications with the students via emails and phone calls.

In addition, the students were asked to submit their notes after each class and the instructor would review and grade the class notes based on their completeness, neatness, structure and organization, and handwriting (for hand-written notes only). The notes of each lecture are a permanent record helping a student to identify the core of important ideas in the lecture, and to review and understand the lecture either. The notes were made worth 40% of the total grade to incentivize students to stay focused during each lecture.

### ***3.4 Project Assignment***

One important goal of this course is to help students to develop their skills to develop numerical codes for their own phase-field models so they can directly apply the programming skills to their research. To achieve that goal, the students needed to complete three code analysis reports and develop two phase-field codes throughout their course. The code analysis reports were required to ensure that the students understood the provided numerical codes for existing phase-field models. Those codes had mainly come from the instructor's previous research [2-8]. After that, the students

were assigned two projects and they would need to use the existing codes as templates to develop their own phase-field codes and run their codes on computer to generate results and plots. Midterm and final exams were not used because the goal of this course is not to sharpen students' problem-solving skills but to develop their programming and implementation skills. The projects were deliberately designed and assigned to the students so that there were no two students had the same two projects.

By adopting the project assignments, a project-based learning (PBL) approach was established in this class. As demonstrated by Foss and Liu and verified from their previous teaching practice [13-18], PBL is an effective approach to improve students' attitudes toward learning and make them more engagement and self-reliant. Therefore, the project assignments are indispensable for online courses. The examples of the phase-field codes provided by the instructor were written in Matlab and the students were encouraged (but not required) to use the Matlab programming package to develop their own codes. This is because had been implemented in several undergraduate and graduate courses in the ME curriculum at MSU [19, 20] and most of the ME students were adroit at using this programming language. It is worth mentioning that all the students enrolled in this course had previous experience in programming and computational modeling so they should have the capacity to complete the projects.

Due to the constraints associated with COVID-19, those projects were individual projects, but the students were encouraged to contact the instructor and/or join group discussions with their classmates whenever they needed help. After the pandemic is over, this course will be converted to a face-to-face course and group projects will be designed for the course following an approach presented by Liu [21, 22].

In this class, both the code analysis reports and phase-field codes were worth 30% of the final grade, and the lecture notes were worth 40%.

#### **4. Student Work Samples**

Examples of the results of phase-field simulations conducted by the students in their projects are displayed below. Fig. 1 illustrates a 3D phase-field simulation of  $\gamma''$  precipitation in a Ni-based superalloy during heat treatment. Fig. 2 displays grain morphologies of additively manufactured Ti-6Al-4V alloy. The evolution of the grain morphologies was simulated using a temperature-dependent grain growth phase-field model provided by the instructor. Fig. 3 exhibits simulated evolution of microstructure during solidification of IN718 alloy, which was obtained using a dendrite growth phase-field model. Main codes for the analysis illustrated in Fig. 1 are provided in Appendix as an example.

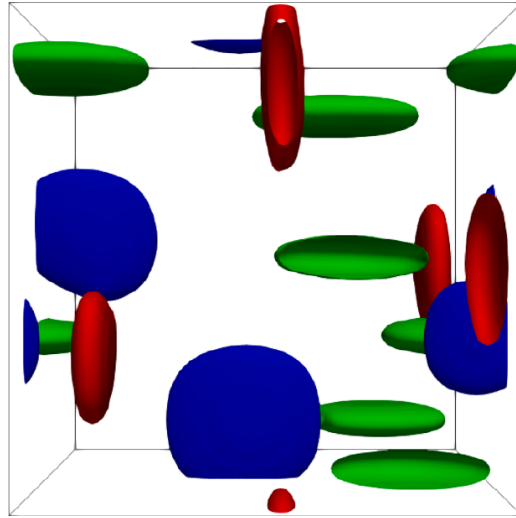


Figure 1. Simulated microstructure of  $\gamma''$  precipitates in Inconel 625 during heat treatment



Figure 2. Simulated grain morphologies of Ti-6Al-4V alloy

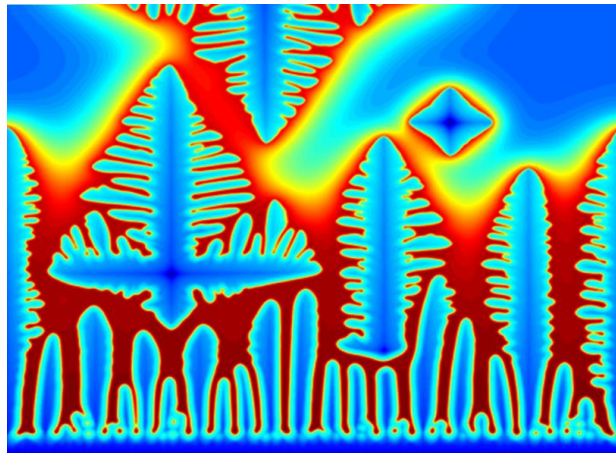


Figure 3. Simulated dendrite nucleation and growth of IN718 alloy during solidification

It is worth mentioning that these figures just represent a few examples of student work and all the students enrolled in this course had done very well. Sixteen graduate students (three PhD students and 13 Master's students) took this class, and the class average grade was 92.6%.

## **5. Student Feedback**

A total of 16 students enrolled in this class in Summer 2020 and the final course evaluation score was 4.6 out of 5.0, a strong proof that the course goals were achieved. Student feedback further confirmed that through this class, the students gained a fundamental understanding of basic principles about phase-field method and developed appropriate phase-field modeling and simulation skills. The students would then apply those skills and knowledge in their research. Selected comments from the students include:

*“Amount of knowledge gained was tremendous. This class will be very helpful in my research!”*

*“The class was well organized as always. Dr. Liu presents a caring, considerate and open attitude toward us.”*

*“This is one of the toughest classes, but Dr. Liu is extremely effective at communicating difficult concepts through Webex!”*

*“I like this course and instructor so much. This course really arose my interest in mechanical engineering.”*

*“We are very interested in phase-field modeling. I think it's our best interest to truly learn what phase-field method is and how to develop and run a phase-field model.”*

Moreover, six of the 13 Master's students chose to continue pursuing a PhD degree either in mechanical engineering or materials science at MSU or other institutions after receiving their Master's degree. Among the three PhD students, one student already graduated in 2021 and is now a tenure-track faculty member at a national university in the United States. The phase-field theory and modeling method taught in this course are being intensively used by these students in their research.

## **6. Conclusion**

An online course about phase-field method and modeling was designed and offered to the ME graduate students at MSU in Summer 2020. Topics, references, and assessment tools of this course were deliberately determined to ensure that the most wanted computational and theoretical methods in phase-field modeling would be covered and the student learning outcomes would be closely assessed. Positive student feedback and other course outcomes are strong testament to the achievement of the course goals and student engagement in an online environment. The student work samples have shown that by taking this class, the students were able to use the existing phase-field codes as templates to develop their own codes and produce all calculations. One student in that class received her PhD and is now a tenure-track faculty member at a U.S. national university and eight students in that class are still pursuing their PhD degree. These students are heavily using the phase-field theory and modeling approach taught in this course as a powerful tool in their research. In the future, this course can be converted to a face-to-face class and permanently implemented into ME curriculum as a core graduate course taught during regular semesters. Students from any engineering or science department who need to predict microstructure

evolutions or understand process-structure-property relationships of materials will benefit from this class. The present course development approach can also be adjusted for the development of other online numerical modeling and analysis courses.

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## **Appendix**

Main codes for the analysis shown in Fig. 1

```

!start timing. first call to system_clock
!call system_clock(count1, count_rate)
start_time = dclock()

!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!
! Definition of reference frames      !
! (x'y'z'): variant reference frames !
! (xyz): grain reference frame in 3D !
! (XYZ): global reference frame      !
!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!

!k-values
CALL Reciprocal(kpow2, kpow4, kx, ky, kz, nx, ny, nz, dx, dy, dz)

!Define the dimension of the system
IF (nx==1 .or. ny==1 .or. nz==1) THEN
  dimen=2
ELSE
  dimen=3
ENDIF

if (initflag == 1) then
  write (*,*) " "
  write (*,*) "Getting the results from the previous calculation!"
  write (*,*) " "
endif

write (*,*) " "
do i=1,nc
  write (*,*) "Overall composition (X",i,"):",c0(i)
enddo
write (*,*) " "

!unit conversions
!K/min to K/sec
heating_rate = heating_rate/60.0

!Initialize needed data
call Initiallization

!initialization for time marching
dt = dt1
sim_time=0.0
!-----time marching
timemarch: DO iter = 1,numsteps
  global_iter = iter + initcount !global_iter is for debugging codes
  niter = iter + initcount

  if (niter <= stepstochange) then
    pstep = stepst1
  elseif (niter > stepstochange) then
    pstep = stepst2
  endif

  !Time step for integration 2
  if (niter > stepstochange) then
    dt = dt2
  endif

  !Calculate Temperature dependent parameters
  CALL Temp_Dependent

  !Dim.less simulation time
  sim_time = sim_time+dt
  !Real simulation time [secs]
  real_sim_time = real_sim_time + real_dt

  !Get heating_start_time
  !Uncomment if non-isothermal
  !if (niter .eq. heating_start_iter) then

```

```

!*****
IF ( (restart_file_written == .TRUE.) .OR. (iter == numsteps) ) THEN
  deallocate(kpow2,kpow4)
  deallocate(eta,comp)
  deallocate(dfdc,dfdeta,muelast)
  deallocate(equi_compa_temp,equi_compb_temp,equi_compc_temp)

  !*****
  STOP
  !*****
ENDIF
!*****
ENDDO timemarch

CONTAINS
!-----Initialization
SUBROUTINE Initiallization

  if(initflag == 0)then
    initcount = 0
    real_sim_time = 0.0
    num_of_nuclei = 0
    num_dens = 0.0
  elseif(initflag == 1)then
    !read previous simulation info from file = 'previous_sim_info.txt'
    call previous_simulation
  endif

  !Get temperature dependent parameters
  CALL Temp_Dependent

  !initialization of eta and comp
  CALL iniconf(initflag,icase)

  !checking if restart_file has been written
  restart_file_written = .FALSE.

  !seed drand
  call srand(iseed)

  !initialize global_iter
  global_iter = 0

END SUBROUTINE Initiallization

END SUBROUTINE Evolve

!Subroutine of ksquare
SUBROUTINE Reciprocal(kpow2, kpow4, kx, ky, kz, nx, ny, nz, dx, dy, dz)
  implicit none

  integer, intent(in) :: nx,ny,nz
  real, intent(in) :: dx,dy,dz
  real, intent(out) :: kx(nx/2+1),ky(ny),kz(nz)
  real, intent(out) :: kpow2(nx/2+1,ny,nz),kpow4(nx/2+1,ny,nz)

  integer i,j,k,ti,tj,tk
  real, parameter :: twopi=6.28318531
  real fksq

  do k=1,nz
    tk=k-1
    if (tk.GT.nz/2) tk=tk-nz
    do j=1,ny
      tj=j-1
      if (tj.GT.ny/2) tj=tj-ny
    do i=1,nx/2+1
      ti=i-1
      fksq=float(ti**2+tj**2+tk**2)
      if (fksq.GT.1E-12) then
        kx(i)=float(ti)*twopi/(nx*dx)
        ky(j)=float(tj)*twopi/(ny*dy)
        kz(k)=float(tk)*twopi/(nz*dz)
        kpow2(i,j,k)=kx(i)**2+ky(j)**2+kz(k)**2

```

```

!Get heating_start_time
!Uncomment if non-isothermal
!if (niter .eq. heating_start_iter) then
! heating_start_time = real_sim_time
!endif

!Classical Nucleation Theory
if ( ( icase .eq. 8 ) ) then
    CALL Nucleation
endif

num_dens_if: if ( ( num_dens .gt. 0.0 ) .or. ( icase .ne. 8 ) ) then
!Normalize all parameters
CALL Normalization

!Setting of elastic properties, SFTS and Gradient coefficients
CALL Set_up(dimen,eigenstrain_0_v,Chom,Chom_v,SS_v)

!-----this section beginning here is the bottleneck of the code
!timing bottleneck of code
!call cpu_time(tic)
tic = secnds(0.0)

!Elasticity solver -
!elasticity solver for homogeneous/inhomogeneous case - Voigt notation
CALL Elastic_Solver(kx,ky,kz,eigenstrain_0_v,Chom,Chom_v,SS_v,muelast)

!Set Up of local-free-energy functions
CALL local_free_energy(dfdelta,dfdc)
!print*,dfdelta
!stop

!1. Cahn-Hilliard Equation - Semi-implicit Fourier-spectral method
CALL Solving_CH(dfdc,kx,ky,kz,kpow2)

!Solving Governing Equations:
!2. Allen-Cahn Equation - Semi-implicit Fourier-spectral method for

!Solving Governing Equations:
!2. Allen-Cahn Equation - Semi-implicit Fourier-spectral method for
!single crystal and Explicit Euler method with k-space Laplacian calculation for polycrystals
CALL Solving_AC(kx,ky,kz,kpow2,dfdelta,muelast)

!timing bottleneck of code
!call cpu_time(tic)
toc = secnds(0.0)
if (global_iter .le. 20) write(5050,*)'evolve.f90 =', toc-tic, 'seconds'
if (global_iter .eq. 20) close(5050)
!-----end of bottleneck of the code
endif num_dens_if

!Heat Treatment Scheme (temperature as a function of time)
!Uncomment if non-isothermal
!if ( ( niter .ge. heating_start_iter ) .and. ( TT .lt. hold_temp ) ) then
! CALL Temp_History
!endif

!second call to system_clock
!call system_clock(count2, count_rate)
stop_time = dclock()

!total_elapsed_time = float((count2 - count1))/count_rate !in seconds
total_elapsed_time = stop_time-start_time !in seconds

!-----OUTPUT
if ( ( mod(niter,pstep)==0 ) .OR. ( iter == numsteps ) ) then
    CALL write_vtk(niter, total_elapsed_time)
endif

!Writing the final results of all variants for restarting
if ( ((total_elapsed_time>3600.0*float(walltime)-60.0*float(timecutoff)) &
    .AND. ( mod(niter,repstep)==0 ) ) .OR. ( iter == numsteps ) ) THEN
    CALL write_restart(niter, total_elapsed_time, restart_file_written)
ENDIF

```

```

fksq=float(ti**2+tj**2+tk**2)
if ( fksq.GT.1E-12 ) then
    kx(i)=float(ti)*twopi/(nx*dx)
    ky(j)=float(tj)*twopi/(ny*dy)
    kz(k)=float(tk)*twopi/(nz*dz)
    kpow2(i,j,k)=kx(i)**2+ky(j)**2+kz(k)**2
    kpow4(i,j,k)=kpow2(i,j,k)**2
else
    kx(i)=0.0
    ky(j)=0.0
    kz(k)=0.0
    kpow2(i,j,k)=0.0
    kpow4(i,j,k)=0.0
endif
enddo
enddo
enddo

END SUBROUTINE Reciprocal

!-----Interpolation function: H_func(h)
FUNCTION H_func(h)
    implicit none
    real, intent(in) :: h
    real :: H_func

    ! (1.)
    H_func = 2.0*h**2 - h**4

    ! (2.)
    !H_func = -2.0*h**3 + 3.0*h**2

    ! (3.)
    !H_func = abs(h)**3*(10.0 -15.0*abs(h) +6.0*h**2)

    ! (2.)
    !H_func = -2.0*h**3 + 3.0*h**2

    ! (3.)
    !H_func = abs(h)**3*(10.0 -15.0*abs(h) +6.0*h**2)
    RETURN
END FUNCTION

!-----First derivative of H_function: dH_func(h)
FUNCTION dH_func(h)
    implicit none
    real, intent(in) :: h
    real :: dH_func

    ! (1.)
    dH_func = 4.0*h - 4.0*h**3

    ! (2.)
    if ( h .NE. 0.0 ) then
        !dH_func = -(6.0*h**3)/abs(h) + 6.0*h
    else
        !dH_func = -(6.0*h**3)/almost_zero + 6.0*h
    endif

    ! (3.)
    if ( h .NE. 0.0 ) then
        !dH_func = (30.0*h**5 - 60.0*h**3*abs(h) + 30.0*h**3)/abs(h)
    else
        !dH_func = (30.0*h**5 - 60.0*h**3*abs(h) + 30.0*h**3)/almost_zero
    endif
    RETURN
END FUNCTION

```